

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): TAKEUCHI et al.

Application No.: 10/078,274

Filed: February 20, 2002

Title: A DATA CODE LABEL, A METHOD
OF DECODING DATA CODES, AND
AN OPTICAL DATA CODE
DECODING SYSTEM

Group Art Unit: 2133

Examiner: UNKNOWN

Attorney Docket No.: 11-081

March 27, 2002

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand delivered to and deposited with the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on March 27, 2002.

Typed Name: DAVID G. POSZ

Signature: 

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

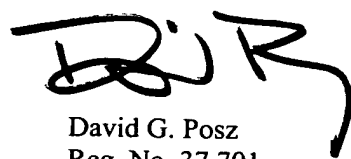
This is in response to a Notice to File Missing Parts of Nonprovisional Application mailed on March 18, 2002 in connection with the above application. Enclosed is a copy of said Notice, as well as the following documents and fees required to complete the filing requirements of the above application.

- Executed Declaration and Power of Attorney. The above-identified application is the same application the inventors executed by signing the enclosed declaration.
- Executed Assignment and Recordation Cover Letter.
- A check in the amount of \$910 to cover the following fees:
 - Statutory filing fee of \$740;
 - Assignment Recordation Fee of \$40; and
 - Missing parts surcharge of \$130.

It is respectfully requested that any additional fees be charged to Deposit Account 50-1147.

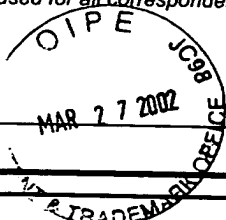
Respectfully submitted,

Law Offices of David G. Posz
2000 L Street, N.W., Suite 200
Washington, D.C. 20036
(202) 416-1638
Customer No. 23400


David G. Posz
Reg. No. 37,701

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)



This Form Based on PTO/SB/21

Application Number

10/078,274

Filing Date

February 20, 2002

First Named Inventor

TAKEUCHI et al.

Group Art Unit

2133

Examiner Name

Attorney Docket Number

11-081

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

- ☒ Assignment Papers
(for an Application)
- ☒ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip
(PTO/SB/69) and Accompanying
Petition
- ☐ To Convert a
Provisional Application
- ☐ Power of Attorney, Revocation
Change of Correspondence
Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request of Refund

- ☐ After Allowance Communication
to Group
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s)
(please identify below):

Declaration and Power of
Attorney

Remarks

8 Sheets of Formal Drawings are submitted herewith.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Law Offices of David G. Posz

Signature

Date

March 27, 2002

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand delivered to and deposited with the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on the date indicated below, and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

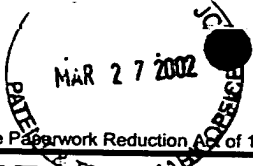
Type or printed name

David G. Posz

Signature

Date

March 27, 2002



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	10/078,274
Filing Date	Fbruary 20, 2002
First Named Inventor	TAKEUCHI et al.
Examiner Name	
Group/Art Unit	2133
Attorney Docket No.	11-081

TOTAL AMOUNT OF PAYMENT (\$) 910

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

50-1147

Deposit
Account
Name

LAW OFFICES OF DAVID G. POSZ

☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money
Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 170

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid		
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 740

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from Below	Fee Paid	
10	-20**= 0	X 18	=	
Independent Claims	3	-3**= 0	X 84	=
Multiple Dependent			=	

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$) 0

SUBMITTED BY

Name (Print/Type)	DAVID G. POSZ	Registration No. (Attorney/Agent)	37,701	Complete (if applicable)	
Signature		Telephone	(202) 416-1638	Date	3.27.02

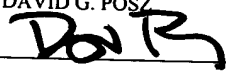
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

X 3

Applicant(s): TAKEUCHI et al	Atty. Dkt.: 11-081
Serial No.: 10/078,274	Group Art Unit: 2133
Filed: February 20, 2002	Examiner: Unknown
Title: A DATA CODE LABEL, A METHOD OF DECODING DATA CODES, AND AN OPTICAL DATA CODE DECODING SYSTEM	
Assistant Commissioner for Patents Washington, D.C. 20231	Date: March 27, 2002

CERTIFICATE OF HAND DELIVERY
I hereby certify that this correspondence is being hand delivered to and deposited with the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on March 27, 2002.
Typed Name: DAVID G. POSZ
Signature: 

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231

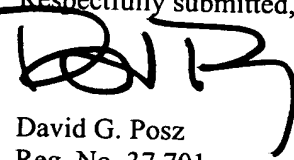
Attention: Official Draftsperson

Sir:

The enclosed formal drawings (8 sheets) are being submitted in connection with the above-identified patent application that was originally filed on the above-indicated date with informal drawings. Approval of these drawings and entry of these drawings into the file of the above application are respectfully requested.

It is respectfully requested that any additional fees be charged to Deposit Account 50-1147.

Law Offices of David G. Posz
2000 L Street, N.W.
Suite 200
Washington, D.C. 20036
(202) 416-1638

Respectfully submitted,

David G. Posz
Reg. No. 37,701
Customer No. 23400



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/078,274	02/20/2002	Takeuchi	11-081

23400
LAW OFFICE OF DAVID G POSZ
2000 L STREET, N.W.
SUITE 200
WASHINGTON, DC 20036

X 4

CONFIRMATION NO. 3052

FORMALITIES LETTER



OC000000007661688

Date Mailed: 03/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

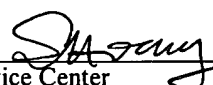
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2002 HK00R1 00000115 10078274
02 FC:101 740.00 DP
02 FC:105 130.00 DP